

FORM - 3A

(Read with Regulation 10)

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2016

Statement of Investment Assets (Life Insurers)

(Business within India)

Periodicity of Submission : Quarterly

PART - A

Rs. in Crores

Section I

Total Application as per Balance Sheet (A)		2,838.97
Add (B)		
Provisions	Sch-14	10.26
Current Liabilities	Sch-13	246.20
		256.45
Less (C)		
Debit Balance in P&L A/c		0.00
Deferred tax asset		0.00
Loans	Sch-09	3.82
Adv & Other Assets	Sch-12	123.98
Cash & Bank Balance	Sch-11	128.68
Fixed Assets	Sch-10	43.57
Misc Exp. Not Written Off	Sch-15	0.00
		300.04
Funds available for Investments		2,795.38

Reconciliation of Investment Assets

Total Investment Assets (as per Balance Sheet)	2,795.38
Balance Sheet Value of:	
A. Life Fund	1,633.55
B. Pension & General Annuity and Group Business	335.49
C. Unit Linked Funds	826.34
	2,795.38

Section II

NON - LINKED BUSINESS

A. LIFE FUND	% as per Reg	SH		PH			Book Value (SH+PH)	Actual %	FVC Amount	Total Fund	Market Value
		Balance	FRSM+	UL-Non Unit Res	PAR	NON PAR					
		(a)	(b)	(c)	(d)	(e)					
1. Central Govt. Sec	NOT LESS THAN 25%	0.00	66.24	2.00	256.70	89.55	414.49	29.72	0.00	414.49	437.91
2. Central Govt Sec, State Govt Sec or Other	NOT LESS THAN 50%	0.00	156.92	10.04	358.13	189.91	715.01	51.27	0.00	715.01	758.56
3. Investments Subject to Exposure Norms		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
a. Housing and Infrastructure		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
1. Approved Investments	NOT LESS THAN 15%	0.23	5.89	6.87	181.80	53.76	248.32	17.80	0.48	249.02	267.96
2. Other Investments	NOT LESS THAN 15%	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
b. (i) Approved Investments		86.81	94.81	4.00	82.71	81.72	263.23	18.87	16.21	366.25	368.12
(ii) "Other Investments" not to exceed 15%	NOT EXCEEDING 15%	80.99	81.86	1.09	46.09	39.06	168.10	12.05	54.18	303.27	306.05
TOTAL LIFE FUND	100%	168.03	339.48	22.01	668.72	364.46	1,394.66	100.00	70.87	1,633.55	1,700.69

B. PENSION & GENERAL ANNUITY AND GROUP BUSINESS	% as per Reg	PH		Book Value	Actual %	FVC Amount	Total Fund	Market Value
		PAR	NON PAR					
		(a)	(b)					
1. Central Govt. Sec	NOT LESS THAN 20%	0.00	76.23	76.23	23.18	0.00	76.23	79.76
2. Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above)	NOT LESS THAN 40%	0.00	135.62	135.62	41.25	0.00	135.62	142.49
3. Balance in Approved Investment	NOT EXCEEDING 60%	0.00	193.18	193.18	58.75	6.70	199.87	203.91
3. Balance in Approved Investment		0.00	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL PENSION AND GENERAL ANNUITY FUND	100%	0.00	328.79	328.79	100.00	6.70	335.49	346.40

LINKED BUSINESS

C. LINKED FUNDS	% as per Reg	PH		Total Fund	Actual %
		PAR	NON PAR		
		(a)	(b)		
1. Approved Investments	NOT LESS THAN 75%	0.00	802.64	802.64	97.13
2. Other than Approved Investment	NOT MORE THAN 25%	0.00	23.69	23.69	2.87
TOTAL LINKED INSURANCE	100%	0.00	826.34	826.34	100.00

CERTIFICATION:

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : 11-11-2016

Signature :

**Full Name : MR.G.VAIDYANATHAN
Chief of Finance**

- Note:**
1. (+) FRSM refers to 'Funds representing Solvency Margin'
 2. Funds beyond Solvency Margin shall have a separate Custody Account.
 3. Other Investments' are as permitted under Section 27A(2) of Insurance Act, 1938
 4. Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
 5. Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account